

## General Privacy Policy

### Our handling of your data and your rights - Information in accordance with Articles 13, 14 and 21 General Data Protection Regulation (GDPR)

We respect and protect your privacy and comply with all data protection regulations. This applies irrespective of whether you are an employee of a contractual partner or simply use our wide range of information offerings: What does this mean in plain language when it comes to your personal data?

Below you can quickly and easily get an overview of which personal data we collect from you and what we do with it. We also inform you about your rights under applicable data protection laws and tell you who to contact if you have any questions ([datenschutzbeauftragter@quantcapital.de](mailto:datenschutzbeauftragter@quantcapital.de)).

#### 1. Who is responsible for data processing and who can I contact?

The controller is:

Quant.Capital Management GmbH (hereinafter referred to as "Quant.Capital")  
Bahnstraße 9  
40212 Düsseldorf  
Germany  
Tel.: +49 (0) 211 6355 120

As the controller, we take all legally required measures to protect your personal data. Data subjects may contact our Data Protection Officer directly at any time with any questions or suggestions regarding data protection. You may reach the Data Protection Officer at the above address or by e-mail at: [datenschutzbeauftragter@quantcapital.de](mailto:datenschutzbeauftragter@quantcapital.de)

By postal mail:  
Quant.Capital Management GmbH  
att.: Data Protection Officer  
Bahnstraße 9  
D-40212 Düsseldorf  
Germany

### 2. What data and sources do we use?

We process personal data which we have received with permission (e.g., in the context of holding of events, for the performance of contracts with customers, suppliers and service providers or on the basis of a consent given by you). On the other hand, we process personal data that we have researched and are permitted to process from publicly accessible sources (such as commercial registers, media).

The following may be relevant personal data:

name, professional address/other contact information (e-mail address, telephone number), gender and optional company position, job title, account details, date and place of birth, photos, videos.

Within the scope of the business relationship, in particular through personal, telephone or written contacts, initiated by you or your employer, additional personal data are created, e.g., information via the contact channel, date, occasion, result, (electronic) copies of correspondence.

We do not collect special categories of personal data referred to as “sensitive data”, such as diseases. We also do not collect any data from children.

### 3. Why do we process your data and on what legal basis?

We process your personal data in accordance with the General Data Protection Regulation (GDPR) and the German Federal Data Protection Act.

#### ***a. To fulfil contractual or quasi-contractual obligations (Article 6(1)(b), (c) GDPR)***

The processing of personal data is carried out to fulfil our obligations (within the scope of the performance of contracts with third parties, service providers/suppliers, authorities). Our services can be found on our website (available at [www.quantcapital.de](http://www.quantcapital.de)).

#### ***b. In the context of balancing interests (Article 6(1)(f) GDPR)***

If necessary, we process your data for the purposes of the legitimate interests pursued by us or by a third party (e.g., representation of the rights and interests of our customers, assertion of legal claims and defence in legal disputes, marketing for our events). If, for example, your contact data are collected during an event, we will store them for future contacts in connection with our specialist events.

#### ***c. Based on consent (Article 6(1)(a) GDPR)***

If you have given us consent to the processing of personal data for one or more specific purposes (e.g., to obtain information), the lawfulness of the processing of such data is given on the basis of your consent. Given consent may be revoked at any time. This also applies to declarations of consent, which were issued to us prior to the effectiveness of the GDPR, i.e., prior to 25 May 2018. Please note that the revocation will only take effect for the future. Any processing prior to the revocation will remain unaffected.

#### **4. Who will receive your data?**

Within Quant.Capital, only those individuals will have access to your data who need it to fulfil our business purposes. Processing is performed by those employees of Quant.Capital, who are obligated to non-disclosure so that your interests are adequately protected in the processing of the data. Processors engaged by us (Article 28 GDPR) may also receive data for the purposes of processing data on our behalf. These are companies in the categories of IT services, printing and event management.

We may only disclose information about you outside of Quant.Capital if required to do so by law or with your consent.

#### **5 How long will we store your data?**

If necessary, we will process and store your personal data for the duration of your company's contractual relationship with Quant.Capital, which includes the initiation and processing of the contractual relationship. If the data are no longer necessary for the fulfilment of our business purpose or legal obligations, they will be erased regularly, unless their - temporary - storage is still necessary. Statutory deadlines are defined in particular in the German Commercial Code and the Tax Code. The time limits for storage and documentation specified there are between two and ten years. Civil limitation periods may be up to 30 years, with a regular limitation period of three years.

#### **6. What data protection rights do you have?**

Every data subject has the right

- of access according to Article 15 GDPR
- to rectification according to Article 16 GDPR
- to erasure according to Article 17 GDPR
- to restriction of processing according to Article 18 GDPR
- to object according to Article 21 GDPR and -
- to data portability according to Article 20 GDPR.

The restrictions according to Sections 34 and 35 Federal Data Protection Act apply to the right of access and the right to erasure. In addition, you are entitled to file a complaint with the data protection supervisory authority of North Rhine-Westphalia (Article 77 GDPR in conjunction with Section 19 Federal Data Protection Act).

#### **7 Is there an obligation for me to provide data?**

You only need to provide the personal data necessary to establish or conduct a business relationship. Should you decide not to provide the required data, we may not be able to comply with your request or grant participation in an event if it cannot be carried out without appropriate processing of certain data.

## **8. To what extent does Quant.Capital perform automated decision-making?**

In principle, we do not use automated decision-making in accordance with Article 22 GDPR.

## **9. Can this Privacy Policy be changed?**

We reserve the right to change the Privacy Policy to adapt it to changed legal situations or changes in the service or data processing. Users are therefore requested to review the contents of our website on a regular basis.

## **Information about your right to object according to Article 21 General Data Protection Regulation (GDPR)**

You have the right to object, on grounds relating to your particular situation, at any time to processing of personal data concerning you which is based on Article 6(1)(f) GDPR (data processing based on a balancing of interests).

In the event of an objection, we will no longer process your personal data unless we can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims. The objection may be made without adherence to any particular form and should ideally be addressed to:

Quant.Capital Management GmbH  
Data Protection Officer  
Bahnstraße 9  
40212 Düsseldorf  
Germany